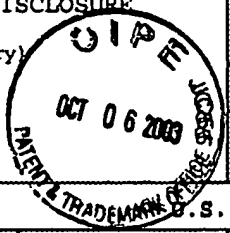
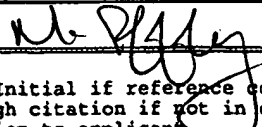

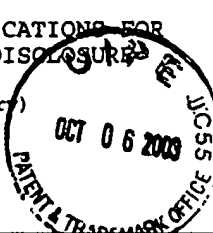


FORM PTO-1449 (Modified)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE  STATEMENT (Use several sheets if necessary)			Attorney Docket No.  <div style="text-align: center; font-weight: bold;">E-11</div>		Application No.:  <div style="text-align: center; font-weight: bold;">10/613,609</div>	
			Applicant: RONALD A. UNDERWOOD			
			Filing Date: JULY 2, 2003		Group: 3763	
Reference Designation			U.S. PATENT DOCUMENTS			
Examiner Initial	Document No.	Date	Name	Class	Sub- class	Filing Date
FOREIGN PATENT DOCUMENTS						
	Document No.	Date	Country	Class	Sub- class	Translation (yes/no)
<u>MP</u> AA	EP 0 694 290	11/15/00	Europe	A61B	18/04	
<u>MP</u> AB	92/21278	12/10/92	WIPO	A61B	5/04	
<u>MP</u> AC	94/08654	04/28/94	WIPO	A61M	37/00	
<u>MP</u> AD	97/00647	01/09/97	WIPO	A61B	17/39	
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<u>MP</u> AI	98/27880	07/02/98	WIPO	A61B	17/39	
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<u>MP</u> AM	3930451	03/21/91	Germany	A61B	17/39	
<u>MP</u> AN	57-57802	04/05/82	JP	A61B	1/00	
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
<u>MP</u> AO	Pearce, John A. (1986) <i>Electrosurgery</i> , pgs. 17, 69-75, 87, John Wiley & Sons, New York.					
<u>MP</u> AP	J.W. Ramsey et al. <i>Urological Research</i> Vol. 13, pp. 99-102 (1985).					
<u>MP</u> AQ	V.E. Elsasser et al. <i>Acta Medico Technica</i> Vol. 24, No. 4, pp. 129-134 (1976).					
<u>MP</u> AR	P.C. Nardella (1989) SPIE 1068:42-49 Radio Frequency Energy and Impedance Feedback					
<u>MP</u> AS	R. Tucker et al., Abstract P14-11, p. 248, "A Bipolar Electrosurgical Turp Loop"					
<u>MP</u> AT	R. Tucker et al. <i>J. of Urology</i> Vol. 141, pp. 662-665, (1989).					
<u>MP</u> AU	R. Tucker et al. <i>Urological Research</i> Vol. 18, pp. 291-294 (1990).					
<u>MP</u> AV	Kramolowsky et al. <i>J. of Urology</i> Vol. 143, pp. 275-277 (1990).					
EXAMINER		DATE CONSIDERED				

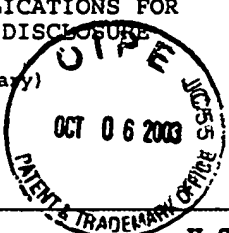
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MP AW	WO 95/34259	12/21/95	WIPO	A61F	5/48	
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MP BM	99/51155	10/14/99	WIPO	A61B	17/36	
MP BN	98/27879	07/02/98	WIPO	A61B	17/36	
MP BO	WO 97/24074	07/10/97	WIPO	A61B	17/39	
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MP BP	Kramolowsky et al. J. of Urology Vol. 146, pp. 669-674 (1991).					
MP BQ	Slager et al. Z. Kardiolog. 76:Suppl. 6, 67-71 (1987).					
MP BR	Slager et al. JACC 5(6):1382-6 (1985).					
MP BS	Olsen MD, Bipolar Laparoscopic Cholecystectomy Lecture (marked confidential), 10/07/91					
EXAMINER		DATE CONSIDERED				
						

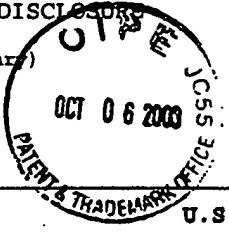
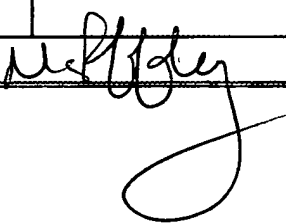
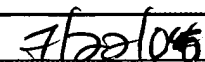
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		Applicant: <b>RONALD A. UNDERWOOD</b>				
Filing Date: <b>JULY 2, 2003</b>		Group: <b>3763</b>				
<b>Reference Designation U.S. PATENT DOCUMENTS</b>						
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date
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	Document No.	Date	Country	Class	Sub-class	Translation (yes/no)
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<u>MP</u> BV	Codman & Shurtleff, Inc. "The Malis Bipolar Electrosurgical System CMC-III Instruction Manual" 7/1991					
<del>BW</del>	<del>Valley Forge's New Products, CLINICA, 473, 5, 11/6/91</del>					
<del>BX</del>	<del>Valley Forge Scientific Corp., "Summary of Safety and Effective Information from 510K," 1991</del>					
<u>MP</u> BY	Codman & Shurtleff, Inc. "The Malis Bipolar Coagulating and Bipolar Cutting System CMC-II" brochure, early 1991					
<del>BZ</del>	<del>L. Malis, "The Value of Irrigation During Bipolar Coagulation" See ARTC 21602, early 4/9/93</del>					
<u>MP</u> CA	L. Malis, "Excerpted from a seminar by Leonard I. Malis, M.D. at the 1995 American Association of Neurological Surgeons Meeting," 1995					
<u>MP</u> CB	L. Malis, "Electrosurgery, Technical Note," J. Neursurg., Vol. 85, 970-975, 11/96					
<u>MP</u> CC	Ian E. Shuman, "Bipolar Versus Monopolar Electrosurgery: Clinical Applications," Dentistry Today, Vol. 20, No. 12, 12/01					
<u>MP</u> CD	Protell et al., "Computer-Assisted Electrocoagulation: Bipolar v. Monopolar in the Treatment of Experimental Canine Gastric Ulcer Bleeding," Gastroenterology Vol. 80, No. 3, pp. 451-455					
<u>MP</u> CE	Cook and Webster, "Therapeutic Medical Devices: Application and Design," 1982					
<u>MP</u> CF	Valleylab SSE2L Instruction Manual, 1/6/83					
<u>MP</u> CG	Robert D. Tucker et al., "Demodulated Low Frequency Currents from Electrosurgical Procedures," Surgery, Gynecology and Obstetrics, 159:39-43, 1984					
<u>MP</u> CH	Lu, et al., "Electrical Thermal Angioplasty: Catheter Design Features, In Vitro Tissue Ablation Studies and In Vitro Experimental Findings," Am J. Cardiol Vol. 60, pp. 1117-1122					
EXAMINER	DATE CONSIDERED					

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			Applicant: <b>RONDALD A. UNDERWOOD</b>			
			Filing Date: <b>JULY 2, 2003</b>		Group: <b>3763</b>	
Reference Designation <b>U.S. PATENT DOCUMENTS</b>						
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date
<b>FOREIGN PATENT DOCUMENTS</b>						
	Document No.	Date	Country	Class	Sub-class	Translation (yes/no)
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>						
MP	CH	Selikowitz & LaCourse, "Electric Current and Voltage Recordings on the Myocardium During Electrosurgical Procedures in Canines," <i>Surgery, Gynecology &amp; Obstetrics</i> , Vol. 164, 219-224, March 1987				
MP	CI	J. O'Malley, Schaum's Outline of Theory and Problems of Basic Circuit Analysis, McGraw-Hill, 2 <sup>nd</sup> Ed., 1992, pp. 3-5				
MP	CJ	Arnaud Wattiez et al., "Electrosurgery in Operative Endoscopy," <i>Electrosurgical Effects</i> , Blackwell Science, pp. 85-93, 1995				
MP	CK	Leslie A. Geddes, "Medical Device Accidents: With Illustrative Cases" CRC Press, 1998				
MP	CL	Wyeth, "Electrosurgical Unit" pp. 1181-1202				
MP	CM	C.P. Swain, et al., <i>Gut</i> Vol. 25, pp. 1424-1431 (1984)				
MP	CN	Piercey et al., <i>Gastroenterology</i> Vol. 74(3), pp. 527-534 (1978)				
MP	CO	A.K. Dobbie <i>Bio-Medical Engineering</i> Vol. 4, pp. 206-216 (1969)				
MP	CP	B. Lee et al. <i>JACC</i> Vol. 13(5), pp. 1167-1175 (1989)				
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MP	CR	W. Honig <i>IEEE</i> pp. 58-65 (1975)				
—	CS	<del>Jacob Kline, <i>Handbook of Biomedical Engineering</i>, Academic Press Inc., N.Y., pp. 98-113, 1988</del>				
MP	CT	M.B. Dennis et al. "Evolution of Electrofulguration in Control of Bleeding of Experimental Gastric Ulcers," <i>Digestive Diseases and Sciences</i> , Vol. 24, No. 11, 845-848				
—	CU	<del>Letter from Department of Health to Jerry Malis dated April 15, 1985</del>				
—	CV	<del>Letter from Jerry Malis to FDA dated July 25, 1985</del>				
MP	CW	Letter from Department of Health to Jerry Malis dated 04/22/91				
MP	CX	Leonard Malis, "Instrumentation for Microvascular Neurosurgery" <i>Cerebrovascular Surgery</i> , Vol 1, 245-260, 1985.				
EXAMINER		DATE CONSIDERED <b>7/22/05</b>				

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		Applicant: RONALD A. UNDERWOOD				
		Filing Date: JULY 2, 2003	Group: 3763			
U.S. PATENT DOCUMENTS						
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FOREIGN PATENT DOCUMENTS						
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## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	SYSTEMS AND METHODS FOR ELECTROSURGICAL TREATMENT OF SLEEP OBSTRUCTIVE DISORDERS						
Application Number:		10/613609					
Confirmation Number:		7304					
First Named Applicant:		Ronald Underwood					
Attorney Docket Number:							
Search string:		( 5496314 or 5496317 or 5571100 or 5633578 or 5860975 or 4682596 or 5078717 or 5267994 or 5273524 or 5300069 or 5312400 or 5334140 or 5342357 or 5514130 or 5569242 or 4593691 or 4931047 or 4998933 or 5178620 or 5366443 or 5380277 or 5419767 or 5697281 or 5697882 or 5697909 or 4532924 or 4765331 or 4976711 or 5125928 or 4043342 or 4228800 or 4248231 or 4326529 or 4381007 or 4476862 or 4706667 or 5009656 or 5584872 or 5609151 or 5676693 or 5725524 or 4232676 or 5007908 or 5370675 or 5383917 or 4040426 or 4116198 or 4548207 or 4823791 or 5098431 ).pn.					
<b>US Patent Documents</b>							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
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	2	5496317	1996-03-05	Goble et al.			
	3	5571100	1996-11-05	Goble et al.			
	4	5633578	1997-05-27	Eggers et al.			
	5	5860975	1999-01-19	Goble et al.			
	6	4682596	1987-07-28	Bales et al.			
	7	5078717	1992-01-07	Parins et al.			
	8	5267994	1993-12-07	Gentelia et al.			
Y	9	5273524	1993-12-28	Fox et al.			
NP	10	5300069	1994-04-05	Hunsberger et al.			



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### Remarks

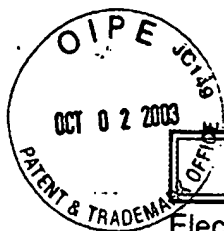
Note: Remarks are not for responding to an office action.

This submission is part 2 of 6 parts each submitted on October 2, 2003. The first part of this submission (part 1) has been concomittently filed via first class priority mail in a box with instructions for the PTO to charge the proper fee. Accordingly, we do not believe that this part (part 2) of this IDS submission should require an additional fee. Please charge our deposit account not more than one IDS submission fee for the entire submission consisting of 6 parts. Thank you.

### Signature

Examiner Name	Date
<i>[Signature]</i>	7/22/05





## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of  
Invention

SYSTEMS AND METHODS FOR ELECTROSURGICAL  
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Application Number: 10/613609



Confirmation Number: 7304

First Named Applicant: Ronald Underwood

Attorney Docket Number:

Search string: ( 6074386 or 6090106 or 6093186 or 6228081  
or 6261286 or 6293942 or 6306134 or 3815604  
or 3920021 or 4590934 or 4785823 or 4805616  
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or 6179824 or 6183469 ).pn.

### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
UP	1	6074386	2000-06-13	Goble et al.			
	2	6090106	2000-07-18	Goble et al.			
	3	6093186	2000-07-25	Goble et al.			
	4	6228081	2001-05-08	Goble			
	5	6261286	2001-07-17	Goble et al.			
	6	6293942	2001-09-25	Goble et al.			
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UP	10	4590934	1986-05-27	Malis et al.			



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40	6416507	2002-07-09	Eggers et al.
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46	6109268	2000-08-29	Thapliyal et al.



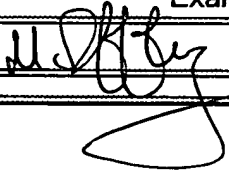
MP	47	6117109	2000-09-12	Eggers et al.
MP	48	6142992	2000-11-07	Cheng et al.
MP	49	6179824	2001-01-30	Eggers et al.
MP	50	6183469	2001-02-06	Thapliyal et al.

## Remarks

Note: Remarks are not for responding to an office action.

This submission is part 4 of 6 parts each submitted on October 2, 2003. The first part of this submission (part 1) has been concomitantly filed via first class priority mail in a box with instructions for the PTO to charge the proper fee. Accordingly, we do not believe that this part (part 4) of this IDS submission should require an additional fee. Please charge our deposit account not more than one IDS submission fee for the entire submission consisting of 6 parts. Thank you.

## Signature

Examiner Name	Date
	7/22/05



## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of  
Invention

SYSTEMS AND METHODS FOR ELECTROSURGICAL  
TREATMENT OF SLEEP OBSTRUCTIVE DISORDERS

Application Number: 10/613609



Confirmation Number: 7304

First Named Applicant: Ronald Underwood

Attorney Docket Number:

Search string: ( 5122138 or 5190517 or 5192280 or 5330470  
or 5366443 or 5647869 or 5662680 or 5417687  
or 5843019 or 5871469 or 4967765 or 5197963  
or 5267997 or 5290282 or 5314406 or 5324254  
or 5383876 or 5395312 or 5944715 or 4202337  
or 4674499 or 4936301 or 4943290 or 4979948  
or 5035696 or 5195959 or 5700262 or 5807395  
or 5496312 or 5556397 or 5681282 or 5683366  
or 5697536 or 5766153 or 5810764 or 5810809  
or 5885277 or 5897553 or 6126682 or 6168593  
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or 6013076 or 6015406 or 6027501 or 6039734  
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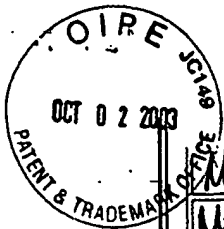
### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
UP	1	5122138	1992-06-16	Manwaring			
	2	5190517	1993-03-02	Zieve et al.			
	3	5192280	1993-03-09	Parins			
	4	5330470	1994-07-19	Hagen			
	5	5366443	1994-11-22	Eggers et al.			
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	8	5417687	1995-05-23	Nardella et al.			
✓	9	5843019	1998-12-01	Eggers et al.			
UP	10	5871469	1999-02-16	Eggers et al.			



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## Remarks

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## Signature

Examiner Name	Date
<i>U. P. H. y</i>	<i>7/22/05</i>